UOB Kay Hian (Hong Kong) Limited

6/F Harcourt House

39 Gloucester Road, Hong Kong

Attn: Client Account Team

Information Collection Statement concerning Northbound China Connect Orders (For Institutional Client)

I/We acknowledge and agree UOB Kay Hian (Hong Kong) Limited to use or transfer our identification information by (including Entity name, Place of incorporation and Legal Entity Identifier ("LEI") / Certificate of Incorporation number etc.) for the purpose of complying with the Investor ID Model regime for Northbound Trading. I/We hereby declare that:

1) Our main account and all sub accounts are opened for (Funds / Proprietary Trading/ Others) * purpose.

2) Our institution (belongs/ does not belong) * to Trade-through Exchange Participants ("TTEPs").

   The TTEP firm ID is:

   *Delete whichever is inappropriate.

滬深港通北向交易指令資料收集聲明（適用於機構客戶）

本人/吾等知悉及同意大華繼顯（香港）有限公司發送或傳輸本機構的識別資料（包括公司名稱，公司註冊國家及全球法人機構識別編碼/ 公司註冊證明等），以遵守北向投資者識別碼制度。本人/吾等謹此確認:

1) 本機構的主帳戶和所有相關的附屬帳戶的用途是（基金/自營交易/其他）*。

2) 本機構 (是/ 不是) * 屬於「透過中華通交易所參與者買賣之交易所參與者」（TTEP）。

   透過中華通交易所參與者買賣之交易所參與者編號：

   *請刪除不合適者。

Authorized Signature(s) with Business Chop (if any)
機構授權人簽署及業務印章(如有)

Entity Name: 機構姓名:	Account Number: 戶口號碼 :	Date 日期:

Submit the signed Information Collection Statement either by:

提交簽署聲明的方法:

1) Reply to this email by attaching the scanned copy of the statement to our Client Service Email (eform-hk@uobkh.com).

   簽署聲明並將副本電郵至客戶服務電郵 (eform-hk@uobkh.com) 。

2) Send the original statement by post to our office address: 6/F Harcourt House, 39 Gloucester Road, Hong Kong (Attn.: Client Services Department).

   簽署聲明並將正本郵寄至我們的辦公地址：香港告士打道 39 號夏慤大廈 6 樓（備注：客戶服務部）。